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FORM ADV PART 2B BROCHURE SUPPLEMENT

This brochure supplement provides information about Anna M. Dujan that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Anna M. Dujan (CRD # 4933785) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Anna M. Dujan, CLTC, RF®

Year of Birth: 1956

Formal Education After High School:

- University of Indianapolis, History, Attended 1974
- Indiana University Purdue University Indianapolis, Finance, Attended 1994 - 2000

Business Background:

- Harvest Investment Services, LLC, Investment Adviser Representative, 6/2016 - Present
- ProEquities, Inc., Registered Representative, 6/2016 - 12/2016
- Park Avenue Securities, Registered Representative, 1/2016 - 5/2016
- Guardian, Agent, 12/2015 - 5/2016
- Guardian, Broker, 8/2015 - 12/2015
- ProEquities, Inc., Registered Representative, 5/2010 - 8/2015

Certifications: **CLTC, RF®**

Registered Fiduciary® (RF®)

DALBAR, Inc. has created and acts as registrar for the Registered Fiduciary® and RF® designation. As registrar, DALBAR certifies and authorizes the use of these designations. The Registered Fiduciary® and RF® are trademarks of DALBAR.

A background check is performed on each RF® candidate to establish that there are no incidents in the past that would compromise the integrity of the designation or cause concern for a reasonable person that relies on that designee. The background check is repeated annually in order to continue using the RF® designation.

RF® candidates must have the academic credentials and licenses that are required to provide the services that are offered by the candidate. The RF® designation is added to existing credentials to indicate the candidate's fiduciary competence in addition to previously established capabilities.

RF® candidates, unless exempted by existing knowledge, are required to undergo RF® fiduciary training and at least one specialty training by an RF® qualified training organization ("QTO"). Training for qualified professionals typically requires 15 to 20 hours, depending on the training organization and specialty. RF® QTOs are authorized to train RF® candidates and successful completion of their courses permits a candidate to apply for the RF® validation to be an RF® designee.

The changing requirements of the marketplace, regulations and technology require that financial professionals with the RF® designation remain current with new developments. RF® QTOs are required to provide continuing education and RF® designees are required to take continuing education courses in their specialty areas. The actual time spent in continuing education depends on the volume of applicable changes taking place.

RF® designees are required to renew their designations annually. Renewals require designees to provide evidence of maintaining a continuing education program and the background check is repeated.

Every five years, designees repeat a proctored examination.

Certified Long-Term Care® (CLTC)

Certification for Long-Term Care is issued by The CLTL Board of Standards, Inc. to professionals in the fields of insurance, financial services, law and accounting. CLTC individuals focus on discipline of extended care planning and longevity. The designation is obtained through a two day live CLTC Master Class or online eCLTC with a requirement of 100-question multiple choice exam. The designation has been recognized and supported by The American College, CFP Board, NAIFA, NAHU and major insurance carriers.

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Anna M. Dujan has no required disclosures under this item.

Item 4 Other Business Activities

Anna M. Dujan is separately licensed as an independent insurance agent. In this capacity, she can effect transactions in insurance products for her clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Ms. Dujan for insurance related activities. This presents a conflict of interest because Ms. Dujan may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Ms. Dujan's receipt of additional compensation as a result of her other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Scott A. Bradtmiller, Chief Compliance Officer, is responsible for supervising the advisory activities of Anna M. Dujan. Scott A. Bradtmiller can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.